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Approved for usethrough 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

≚TITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED NINTENTIONALLY UNDER 37 CFR 1.137(b)

Docket Number (Optional) 035826-027

First named inventor: Paul A. Nysen Application No.: 09/738,819 Art Unit: 2635 Examiner: Vernal U. Filed: December 15, 2000 Title: Apparatus and Method for Locating a Tagged Item JUL **2 6** 2004 Attention: Office of Petitions **Mail Stop Petition** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX: (703) 872-9306 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9282. The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained. APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION NOTE: A grantable petition requires the following items: Petition fee; (1) (2)Reply and/or issue fee; (3)Terminal disclaimer with disclaimer fee -- required for all utility and plant applications filed before June 8, 1995; and for all design applications; and Statement that the entire delay was unintentional. 1. Petition fee Small entity - fee \$665 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27. Other than small entity - fee \$_____ (37 CFR 1.17(m)) 2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of RCE & Amendment/Response (identify type of reply): has been filed previously on _____. is enclosed herewith. B. The issue fee of \$

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137. Theinformation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the ChiefInformation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box1450, Alexandria, VA 22313-1450.

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3. Terminal disclaimer with disclaimer fee											
	⊠ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.										
	A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).										
4. Statement. The entire delay in filing the required reply from the due date for the required reply un a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Trademark Office may require additional information if there is a question as to whether abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPE subsections (III)(C) and (D))].											
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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First Named Inventor	Paul A. Nysen	111 2 6 2004	
Examiner Name	Vernal U. Brown	302	
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UL 19/2004 " Request	Application Number	09/738,819								
For Continued Examination (RCE)	Filing Date	December 15, 2000								
Transmittal	First Named Inventor	Paul A. Nysen								
Address to: Mail Stop RCE	Art Unit	2635								
Commissioner for Patents P.O. Box 1450	Examiner Name	Vernal U. Brown								
Alexandria, VA 22313-1450	Attorney Docket Number	035826-027 2 6 2004								
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application; ACE practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.										
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SIGNATURE OF APPLICANT, ATTO										
Name (Print /Type) William E. Winters	Registration No. (Attorney/A	lgent) 42,232								
Signature	Date 7-15	-04								
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Name (Print /Type) Diane Morse										

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

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É UNITED STATES PATENT AND TRADEM

APPLICANT:

JUL 1 8 2004

Paul Nysen

SERIAL NO.:

09/738,819

FILING DATE:

December 15, 2000

TITLE:

APPARATUS AND METHOD FOR LOCATING A TAGGED

ITEM

EXAMINER:

Brown, Vernal U.

ART UNIT:

2635

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 7-16-04

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

REVOCATION OF POWER OF ATTORNEY AND NEW POWER OF **ATTORNEY**

The undersigned, having authority to act as the owner of the entire title to the patent application identified above, hereby revokes all powers of attorney previously given and hereby appoints Robert E. Krebs, Registration No. 25,885; David B. Ritchie, Registration No. 31,562; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo, Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami, Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37) C.F.R. §10.9 (b)); and John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman,

SV #159243 v1

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03-03-04

035826-027 (formerly-XCI-232-KFM)

Registration No. 36,703; Hal J. Bohner, Registration No. 27,856, to act on applicant's behalf before the United States Patent and Trademark Office for the above-identified application and to transact all business in the Patent and Trademark Office in connection therewith.

Please mail all correspondence to Robert E. Krebs at the following address:

THELEN REID & PRIEST LLP P.O. BOX 640640 SAN JOSE, CA 95164-0640

and direct all telephone calls to Robert E. Krebs at (408) 292-5800.

Dated: 03/04/04/

Name: Keith L. Cocita

Title: President

SV #159243 vi



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9

APPLICANT:

Paul A. Nysen

APPLICATION NO.:

09/738,819

FILING DATE:

December 15, 2000

TITLE:

APPARATUS AND METHOD FOR LOCATING A

TAGGED ITEM

EXAMINER:

Vernal U. Brown

ART UNIT:

2635

CERTIFICATE OF MAILING

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Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 7-16-04_

Name:

Diane Morse

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22-313-1450

AMENDMENT

In response to the Office Action dated November 17, 2003, please amend the above-identified application as follows.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

Amendments to the Claims:

The listing of claims below will replace all prior versions and listings of claims in the application:

Listing of Claims:

- 1. (Previously Presented) Apparatus for determining the location of an item, from among a plurality of like items, said apparatus comprising, in combination:
- (a) a paging device adapted to be located on or near said item, said paging device having a unique paging device identification code and including:
- (1) an RF receiver for receiving and detecting RF transmissions from a commercial paging service, said RF transmissions including a paging device identification code for a particular paging device and a paging message associated therewith;
- (2) a comparator, connected to said RF receiver, for determining when the paging device identification code received from the commercial paging service equals the paging device identification code for the respective paging device;
- (3) a decoder, connected to the RF receiver and the comparator, for decoding the paging message when enabled by said comparator, one of said paging messages including a command to emit a locator signal;
- (b) a locator transmitter, adapted to be co-located with said item and said paging device and being connected to said paging device, said locator transmitter producing a locator signal in response to a command from said paging device; and

- (c) a locator device, within range to receive said locator signal, for determining the location of said locator transmitter;
- (d) a transponder tag, adapted to be co-located on or near said item with said paging device, said tag having means for emitting an RF signal in response to an RF interrogation, said RF signal containing a unique tag identification code;
- (e) a transponder reader for producing an RF interrogation for a transponder tag, for receiving an RF signal from a tag in response to such interrogation and for decoding the tag identification code from said RF signal; and
- (f) a computer coupled to the transponder reader and having stored therein, in association with each other, both the paging device identification code and the tag identification code.
- 2. (Canceled)
- 3. (Currently Amended) The apparatus defined in claim [[2]]1, wherein the transponder tag utilizes energy from said RF interrogation to transmit said RF signal, whereby said transponder tag requires no other power source.
- 4. (Previously Presented) The apparatus defined in claim 1, wherein one of said paging messages includes a command to switch off the co-located tag, and wherein said apparatus further comprises a tag control device, connected to said paging device and to said tag, for preventing said tag from responding to an RF interrogation when said switch off command is received by said paging device.

- 5. (Previously Presented) The apparatus defined in claim 1, further comprising a CPU, coupled to said transponder reader, for initiating a page by said commercial paging system.
- 6. (Original) The apparatus defined in claim 1, wherein said locator transmitter produces, and said locator device receives, a RF locator signal.
- 7. (Original) The apparatus defined in claim 1, wherein said locator transmitter produces, and said locator device receives, an infrared locator signal.
- 8. (Original) The apparatus defined in claim 6, wherein said locator transmitter produces, and said locator device receives, an ultrasound locator signal.
- 9. (New) A system for locating a tagged item, comprising:

a paging device attached or in close proximity to an item to be located, said paging device identified by a paging device address and having an RF receiver configured to receive paging signals from a paging system;

a transponder tag containing a unique code identifying said item, said transponder tag attached or in close proximity to said item; and

a transponder reader operable to receive an RF signal from said transponder tag and extract a tag identification code contained in said RF signal.

- 10. (New) The system of Claim 9, further comprising a server configured to receive information signals from said transponder reader and communicate information concerning the item or transponder tag to said paging system.
- 11. (New) The system of Claim 9, further comprising a controller configured to receive a command signal from said paging device.
- 12. (New) The system of Claim 11 wherein said command signal contains a command to deactivate said transponder tag.
- 13. (New) The system of Claim 9, further comprising a location receiver module having one or more receivers operable to determine the location of said paging device.

REMARKS/ARGUMENTS

This Amendment is submitted with a Request for Continued Examination and a petition to revive for unintentional abandonment. It is responsive to the Final Office Action mailed from the USPTO on November 17, 2003. Upon entry of the Amendment, which amends Claim 3 and adds new Claims 9-13, Claims 1-13 are pending.

In the November 17, 2003 Office Action, Claims 1 and 3-8 were rejected under 35 U.S.C. § 112, Second Paragraph, as allegedly being indefinite. Claims 1 and 4-6 were rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 5,576,692 to Tompkins et al. (hereinafter referred to as "Tompkins et al.") in view of U.S. Patent No. 5,684,859 to Chanroo et al., and further in view of U.S. Patent No. 6,236,836 to Westman et al. (hereinafter referred to as "Westman et al. Claim 3 was rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Tompkins et al. in view of Chanroo et al. in view of Westman et al. and further in view of U.S. Patent No. 6,412,086 to Friedman et al. (hereinafter referred to as "Friedman et al."). Finally, Claims 7 and 8 were rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Tompkins et al. in view of Chanroo et al. in view of Westman et al. and further in view of U.S. Patent No.6,424,928 to Elliott et al. (hereinafter referred to as "Elliott et al."). Applicant respectfully requests reconsideration of the claims in view of the above amendments and the comments below.

35 U.S.C. § 112, Second Paragraph, Claim Rejections

On pages 2-3 of the Office Action, Claims 1 and 3-8 were rejected under 35 U.S.C. § 112, Second Paragraph, as allegedly being indefinite. Specifically, it is asserted

in the Office Action that use of the phrase "the like" in Claims 1 and 3-8 renders the claims indefinite, and the scope of the claims unascertainable. For the following reasons Applicant believes that the § 112 rejections cannot be properly maintained.

Applicant does not disagree that use of the phrase "the like" would render the claims indefinite. However, despite what is asserted in the Office Action, that phrase is not used in any of Claims 1 and 3-8. Because the phrase is not present in the claims, the § 112 rejections cannot be properly maintained. Applicant requests, therefore, that the rejections of Claims 1 and 3-8 be withdrawn.

35 U.S.C. § 103(a) Claim Rejections

In the Office Action, independent Claim 1 was rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Tompkins et al. in view of Chanroo et al., and further in view of Westman et al. Claims 3-8, which all depend from independent Claim 1, were also rejected as allegedly being unpatentable over Tompkins et al. in view of Chanroo et al. in view of Westman et al. and further in view of either Friedman et al. (Claim 3) or Elliott et al. (Claims 7 and 8). For the following reasons Applicant respectfully believes that these § 103(a) rejections cannot be properly maintained.

Tompkins et al. discloses a system for tracking or locating luggage in airports dispersed over a wide geographic area. The system utilizes a paging system and beeper paging units attached to each piece of luggage to be tracked or located. Each beeper paging units is assigned a unique code, so that luggage pieces can be distinguished from one another.

Chanroo et al. discloses a "selective call system" comprising a paging controller 104 coupled to a telephone network 102, a plurality of base sites 120-124, a subscriber database 130 containing location identifiers 132. A subscriber uses a selective call receiver 108, which receives call signals from the paging controller 104. A decoder/controller 306 of a selective call receiver 108 assigned to a particular subscriber compares a location identifier 132 sent from a base site of a given service area to the subscriber's home location identifier to determine whether the subscriber has roamed to a new service area. If it is determined that the subscriber has roamed to a new service area, the selective call receiver 108 transmits an acknowledgment-back signal to the base sites of the new service area. The selective call receiver 108 also sends the new location identifier to the subscriber's home service area so that all paging information can be routed to the new service area indicated by the new location identifier.

Westman et al. discloses a system for surveillance and localization of movable objects (e.g. transport bags for valuables, larger valuables, persons/children or certain types of domestic animals (dogs, cats, etc.)). The system uses a paging system and a "transponder" that "contains a receiver, preferably of the type in a paging system, a decoder, a logic unit, a transmitter portion and a power supply." (Westman et al., col. 3, lines15-19). The paging system (identified as system "A" in the specification) sends control information to the transponder (identified as system "B").

Independent Claim 1 of the present patent application, by contrast, claims an apparatus for determining the location of an item that includes "paging device" and a "transponder tag, which is "adapted to be co-located on or near said item with said paging

device." The "paging device is further recited to include "an RF receiver", a "comparator" and a "decoder".

Tompkins et al., Chanroo et al. and Westman et al., whether considered alone, in combination, or whether modified by one another, do not teach or suggest a system for locating an item having a paging device co-located with a transponder tag. Indeed, in the Office Action it is acknowledged that neither Tompkins et al. nor Chanroo et al. teach or suggest a system utilizing co-located transponder and paging device. The Westman et al. reference does not either.

It is true that the transponder in Westman et al. system contains a receiver, "preferably of the type in a paging system" (col. 3, line 17-18); however that receiver is part of the transponder. In other words, contrary to what is asserted in the Office Action, Westman et al. do not teach or suggest a system having a paging receiver that is separate and distinct from a transponder tag. For at least this first reason, Applicant respectfully believes that the § 103 rejections of Claims 1 and 4-6 cannot be properly maintained.

Further, none of the three cited references, whether considered alone, in combination, or modified by one another teach or suggest that the transponder may be a "transponder tag" or that the system include a "transponder reader" for "producing an RF interrogation for [the] transponder tag...and for decoding [a] tag identification code" as Claim 1 recites. At most, the three cited references only discuss a paging device address code.

Finally, none of the three cited references, whether considered alone, in combination, or modified by one another teach or suggest a system having a "computer" coupled to a "transponder reader" and having stored therein, in association with each

other, "both" a "paging device identification code" and a "tag identification code." Indeed, there is no teaching or suggestion whatsoever in the references of a system having a computer that stores both a paging device identification code and a tag identification code.

For at least the foregoing reasons Applicant believes that the § 103 rejection of independent Claim 1 cannot be properly maintained. Applicant respectfully requests, therefore, that the rejections of independent Claim 1 be withdrawn.

The other rejected claims (i.e. Claims 3-8) all depend from independent Claim 1. Accordingly, they are believed to be patentable over the cited art of record for at least the same reasons provided above. Applicant respectfully requests, therefore, that the § 103 rejections of dependent Claims 3-8 also be withdrawn.

New Claims

New Claims 9-13 are believed to be allowable over the cited prior art of record for at least the same or similar reasons as provided above.

CONCLUSION

In view of the foregoing, Applicant believes all claims now pending in this Application are in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 408-282-1857.

Respectfully submitted,

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